

AHIMA Information Governance

The Next Evolution of Privacy and Security - Information Governance



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Objectives

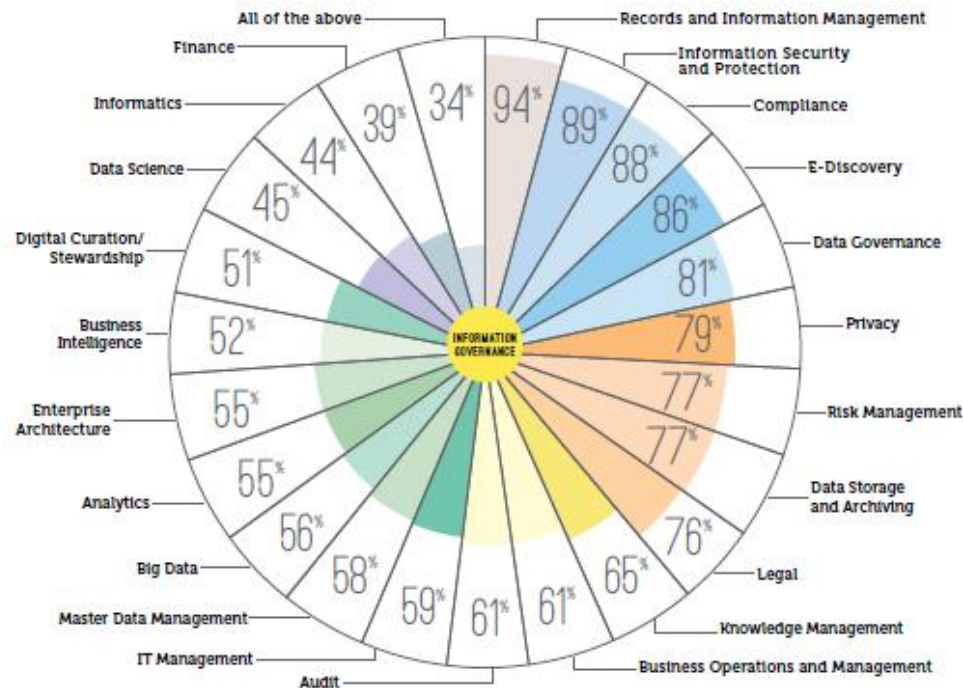
- Define information governance and discuss how it is used across industries
- Outline how the IG Principles of Compliance and Information Protection lay a framework for enterprise wide information governance
- Define how security and privacy officers transform into Chief Information Governance Officers

2015 IGI Annual Report

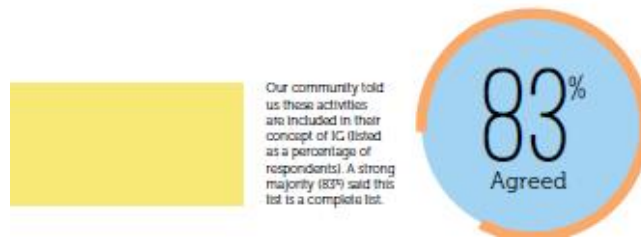


THE FACETS OF IG

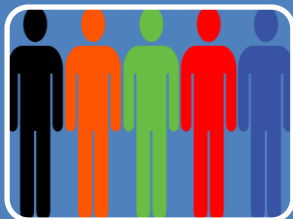
THE FACETS OF IG: IG SERVES A COORDINATING FUNCTION



IGI Annual Report
2015 is available at:
www.lginitiative.com



Why Information Governance is Important



People

- Coordinating Care / Cost of Care / Quality of Care
- Patient Engagement
- Managing Patient Populations



Process

- Regulatory Compliance
- Managing Switch to Value Based Reimbursement
- Reducing Hospital Acquired Conditions
- Mergers and Acquisitions



Technology

- Managing Data
- Improving Information Security
- Trusted data exchange

IG Principles For Healthcare (IGPHC)

Accountability
Transparency
Integrity
Protection
Compliance
Availability
Retention
Disposition

What Will Trust in Our Information Enable?

- Safe Use of Health IT
- A State of Interoperability
- Reduced Information Risk
- Right Patient – Right Information
- Quality Care - Lower Costs
- Reliable Performance Measures
- Proof of the Value of Care Purchased
- Trust in Exchange Partners
- Appropriate and Ethical Use of Information
- Reliable Analytics – Decision Support
- A Learning Health System
- Research – Population Health



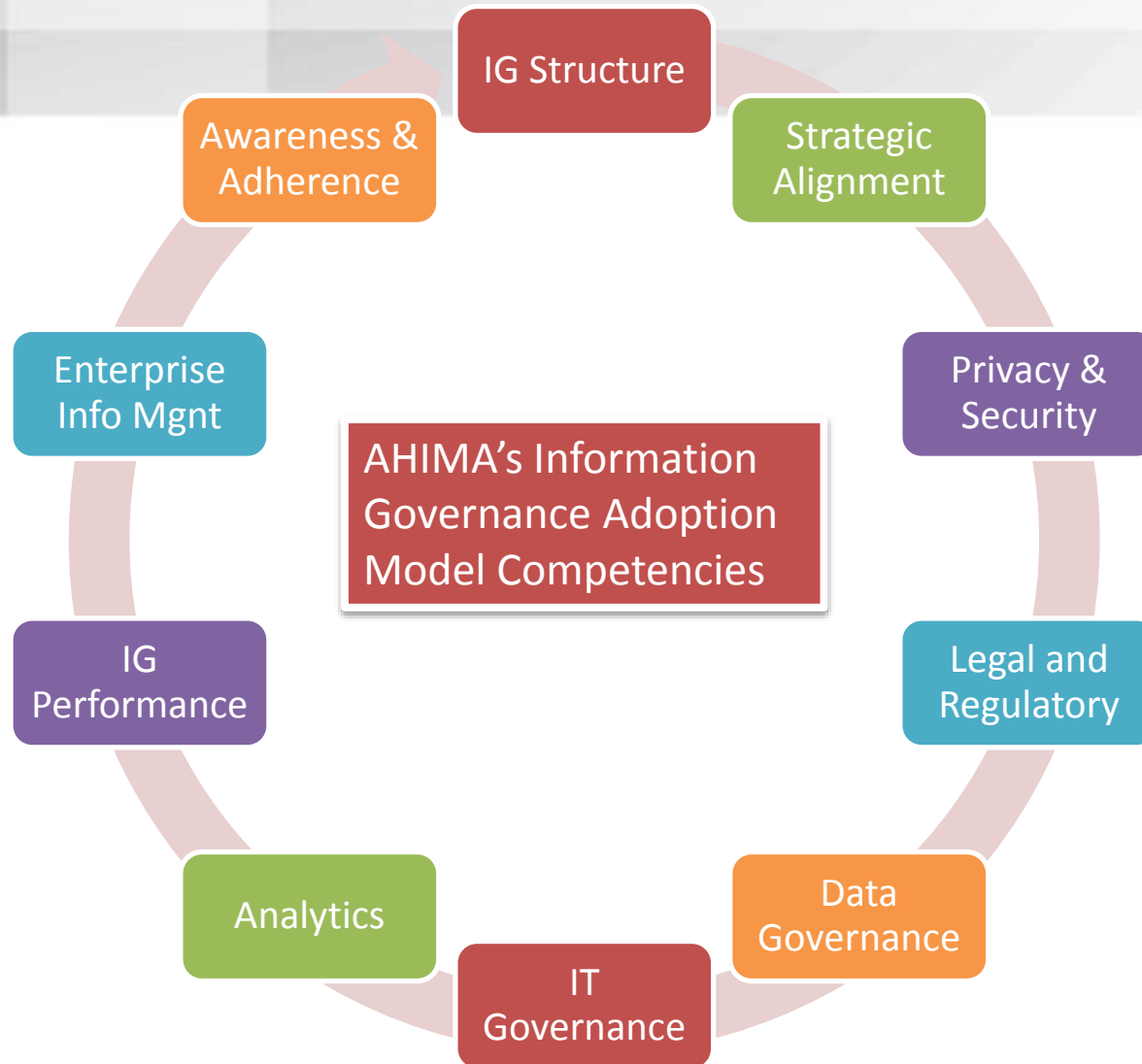
Information Governance for Healthcare

AHIMA Definition

An organization-wide framework for managing information throughout its lifecycle and for supporting the organization's strategy, operations, regulatory, legal, risk, and environmental requirements.

Information Governance for Healthcare





AHIMA IG Adoption Model TM

- Five-Level Model
- Defines characteristics of governance practices at advancing levels of maturity
- Rooted in IG best practices, standards and requirements
- Introduces constructs of IG Organizational “Core Competencies” that are enumerated by performance-driven “markers”

AHIMA IG Adoption Model TM

Broad use of the Adoption Model will enable:

- A recognized scoring mechanism for IG adoption levels
- Peer group benchmarking
- An indication of trustworthiness of an organization's information
- An indication of partnerships desirability for accountable care, preferred provider networks, and information exchange participation

Information Governance Office (IGO)

- **IG Infrastructure is Critical to Success**
 - Senior Leadership Support
 - Budget
 - IG Awareness Across Organization
 - Multi-Disciplinary IG Committee Reporting to Governing Body
 - CIGO (Chief Information Governance Officer)



Evolution of the IG Senior Leader – Chief Information Governance Officer (CIGO)

- **New Role or Included in an Established Role**
 - Focused on the business benefits of the organization's information
 - Sits in the business, but has a solid understanding of data technology and information architecture
 - Involved in board-level discussions on strategy
 - Owns and drives Information Strategy, Information Governance, Information Risk and Information Exploitation

Information Governance – How could it help?

- It's a shift to a larger focus
 - If your organization has a breach and patient information is not the target of the attack there is still reputational damage and local concern.
- IG creates enterprise wide effort to protect information, not just clinical information.

IG Principle of Protection

Appropriate levels of protection from breach, corruption and loss must be provided for information that is private, confidential, secret, classified, essential to business continuity, or otherwise requires protection.

Must address all sources, all media and must apply throughout the life of the information.

Security Roles and Information Governance

- Security Officers often focus efforts on:
 - Clinical data
 - Electronic data
- Expansion of the security officer's role to Information Governance
 - All data, all media, all locations, all types
 - Involvement in business continuity and disaster recovery planning
 - Involvement in access management

Privacy Roles and Information Governance

- HIPAA privacy rule 2003
- Privacy Officer, Privacy Official in Place
- Time to expand this role outside of clinical information.
- Enterprise wide standards
- Enterprise wide access
- Paper and electronic



Where Does Information Governance Start?

Analyze sensitive data:



- Discover and classify sensitive data – and uncover compliance risks – automatically
- Know who is accessing data, spot anomalies, and stop data loss with real-time data, application, and file activity monitoring
- Rapidly analyze data usage patterns to uncover and remediate risks

Information is an Organizational Asset

- Information is being created at a pace faster than organizations can analyze and extract value from it, which means that the potential value of the information may be far greater than the actual value an organization is able to derive.
- Organizations simply cannot afford to ignore the value of their information assets.

New Leaders Will Continue to Emerge / The Evolution of the Privacy and Security Officer

- In the last few years, there has been a tremendous uptick in the creation of information governance steering committees; however, there is still a need for an executive in each organization to drive the information governance initiative across their company.
- This executive must have the authority (and oversight) to manage the program.

Wrap Up

- Compliance +
- Privacy +
- Security=
- Chief Information Governance Officer

IG PulseRate – a quick check into your organization's IG maturity.

- Free instant assessment of the maturity level of IG in your organization available at www.IGIQ.com
- Review and rate the key success measures that impact organizational IG maturity
- Evaluate your organization's strengths and help identify weaknesses that may be impeding your organization's path to enterprise information governance



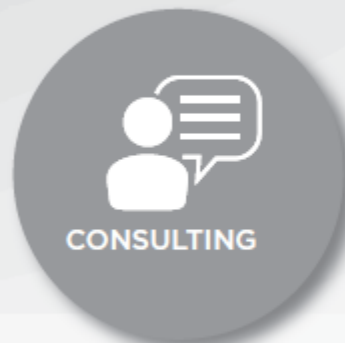
IG for HealthCare: Recommended Reading

- AHIMA. "Information Governance Principles for Healthcare™" 2014. Chicago, IL. AHIMA, 2014. Available at: www.ahima.org/infogov
- ARMA International. "Generally Accepted Recordkeeping Principles". ARMA International, 2013. Available at www.arma.org
- Cohasset Associates and AHIMA. "A Call to Adopt Information Governance Practices." 2014 *Information Governance in Healthcare*. Minneapolis, MN.
- Cohasset Associates, 2015. Cohasset Associates and AHIMA. "Professional Readiness and Opportunity" 2015 *Information Governance in Healthcare*. Minneapolis, MN. Cohasset Associates, 2015.
- *Implementing Health Information Governance*, 2015. Linda Kloss, MA, RHIA, FAHIMA
- *Enterprise Health Information Management and Data Governance*, 2015. Merida L Johns, PhD, RHIA.
- The Information Governance Initiative. "The Information Governance Initiative Annual Report". 2014 and 2015 . New York, NY. www.IGinitiative.com
- The Joint Commission. "Information Management (IM) Chapter", *Comprehensive Accreditation Manual for Hospitals*, 2014, Oakbrook Terrace, IL: The Joint Commission, 2014, pp.IM-1—IM-10.
- The Sedona Conference. "Commentary on Information Governance" The Sedona Conference® Working Group Series. A project of The Sedona Conference® Working Group on Electronic Document Retention and Production (WGI)

Resources

- The Final HITECH Omnibus Rule
(January 25, 2013)
<http://www.gpo.gov/fdsys/pkg/FR-2013-01-25/pdf/2013-01073.pdf>
- Combined HIPAA/Omnibus Rule
<http://www.hhs.gov/ocr/privacy/hipaa/administrative/combined/index.html>
- U.S. Department of Health and Human Services Office for Civil Rights: HIPAA Administrative Simplification - 45 CFR Parts 160, 162, and 164
- Information Governance, 2014. Robert F. Smallwood

What's Next? Moving your IG Forward.



- IG consulting and implementation services (project management)
- Gap analysis and assessment
- Focused gap remediation
- Onsite or virtual organization specific training
- Customizable one-on-one consulting with an AHIMA IG expert
- Score validation from the IG HealthRate™ system for AHIMA Level 5 sites



- IG ToolKit™ (Free to members)
- Publications
- Webinars
- Face-to-Face Meetings



- **NEW** White Paper: Professional Readiness and Opportunity
- **NEW** IG Infographic—What's the difference between IG and DG?
- IG for Healthcare Benchmarking White Paper
- Information Governance Principles for Healthcare (IGPHC)™
- IG Infographic — What is IG?



- Identify your true level of maturity and provide advisory services and additional resources
- Differentiate from your competition and other organizations AHIMA can arrange to validate your organizations maturity and your maturity level, which can then be used for marketing
- Provide extensive reporting, guidance, and comparison to other entities of your size and specialty

For more information contact us at (844) 554-4447 or visit ahima.org/Infogov.